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Louis S. Sorell

December 9, 2004

Date

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Application No.

: 10/510,369

Applicant

: Mark Plehiers

International Appln. No.

: PCT/EP2003/015003

International Filing Date

: December 8, 2003

Title

: Process for the Production of Silyl Carboxylate Monomers

Attorney Docket No.

: 104991-146750

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

INFORMATION DISCLOSURE STATEMENT

Sir:

Under the provisions of 37 C.F.R. §§ 1.56, 1.97 and 1.98, Applicant brings to the attention of the Examiner the documents listed on the attached Form PTO/SB/08. Copies of the listed documents are enclosed. An English abstract is attached to each of the Japanese patent documents.

Applicant respectfully requests that the Examiner consider the listed documents and indicate that they were considered by making appropriate notations on the attached form and returning a copy to Applicant.

This Information Disclosure Statement is filed before the mailing of a first Office action on the merits and therefore, no fee is necessary. However, the Commissioner is still authorized to charge any required fees to Goodwin Procter LLP Deposit Account No. 06-0923.

Respectfully submitted,

Louis S. Sorell (Reg. No. 32,439)

Goodwin Procter LLP 599 Lexington Avenue New York, NY 10022

(212) 813-7421

PE VCR

PTO/SB/08a (08-03)
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Complete if Known

Application Number 10/510,369

Filing Date

First Named Inventor Mark Plehiers

Art Unit Examiner Name

Sheet 1 of 3 Attorney Docket Number 104991-146750

			U. S. PATENT	DOCUMENTS	
Examiner Initials*	Cite No.1	Document Number Number-Kind Code ^{2 (f known)}	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
	1	US- 6,498,264	12/24/2002	Tonomura et al.	
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	FOREIGN PATENT DOCUMENTS							
Examiner Initials*	Cite No.1	Foreign Patent Document Country Code ³ 'Number ⁴ 'Kind Code ⁵ (<i>if known</i>)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T ⁶		
	2	EP 0 564 108	10/06/1993	Bergstrom et al.				
	3	EP 1 127 902	08/29/2001	Vos et al.				
	4	EP 1 260 513	11/27/2002	Gillard et al.				
	5	JP 05-025186	02/02/1993	Shingeru et al.				
••••••	6	JP 05-306290	11/19/1993	Maasaki et al.				

Examiner	Date	
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Signature	Considered	i

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. 1 Applicant's unique citation designation number (optional). 2 See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. 3 Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). 4 For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. 5 Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. 6 Applicant is to place a check mark here if English language Translation is attached.

Translation is effaction of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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0			Application Number	10/510,369
IN	FORMATION DISC	CLOSURE	Filing Date	
	TATEMENT BY AP		First Named Inventor	Mark Plehiers
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Sheet	2	of 3	Attorney Docket Number	104991-146750

			U. S. PATENT		
Examiner Initials*	Cite No. ¹	Document Number Number-Kind Code ^{2 (d known)}	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
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	FOREIGN PATENT DOCUMENTS							
Examiner Initials*	Cite No.1	Foreign Patent Document Country Code ³ 'Number ⁴ 'Kind Code ⁵ (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	⊤ 6		
-	7	JP 10-195084	07/28/1998	Junji et al.				
	8	JP 62-179514	08/06/1987	Shigeru et al.				

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Examiner	Date		
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Substitute for:	form 1449B/PTO				Complete if Known	
				Application Number	10/510,369	
INFOR	RMATION	DISCLO	SURE	Filing Date		
STATE	STATEMENT BY APPLICANT			First Named Inventor	Mark Plehiers	
				Art Unit		
(Use as many shee	ets as necessary)	Examiner Name		
Sheet	3	of	3	Attorney Docket Number	104991-146750	

Examiner Initials* Cite No.1 Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published. 9 A. Chapman & A. D. Jenkins, "Improved Methods for the Preparation of Trimethylsilyl Methacrylate," J. Polym. Sci. Polym. Chem. Edn., Vol. 15, p. 3075 (1977) 10 Nakao et al., "A Simple Method for the Esterification of Carboxylic Acids Using Chlorosilanes," Bulletin of the Chemical Society Japan, 54, 1267-1268 (1981)	<u> </u>			
Initials* No.1 magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published. A. Chapman & A. D. Jenkins, "Improved Methods for the Preparation of Trimethylsilyl Methacrylate,"J. Polym. Sci. Polym. Chem. Edn., Vol. 15, p. 3075 (1977) Nakao et al., "A Simple Method for the Esterification of Carboxylic Acids Using Chlorosilanes,"			NON PATENT LITERATURE DOCUMENTS	
Methacrylate,"J. Polym. Sci. Polym. Chem. Edn., Vol. 15, p. 3075 (1977) 10 Nakao et al., "A Simple Method for the Esterification of Carboxylic Acids Using Chlorosilanes,"			magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city	T ²
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